

**IN THE UNITED STATES DISTRICT COURT FILED
FOR THE DISTRICT OF MASSACHUSETTS CLERKS OFFICE
EASTERN DIVISION**

2004 SEP 24 P 3:52

**U.S. DISTRICT COURT
DISTRICT OF MASS.**

STEVEN G. WICKS and GERALD A. KALBFLEISCH,)	Civil Action No.: 04-CV-10988 (GAO)
Plaintiffs,)	
v.)	
PUTNAM INVESTMENT MANAGEMENT, LLC and PUTNAM RETAIL MANAGEMENT, LLP,)	
Defendants.)	

**APPENDIX OF UNPUBLISHED AUTHORITIES CITED IN PLAINTIFFS'
MEMORANDUM OF LAW IN OPPOSITION
TO DEFENDANTS' MOTION TO DISMISS THEIR COMPLAINT**

TABLE OF AUTHORITIESTabFederal Cases

<u>Allianz CP General Insurance Co. Ltd. v. Blue Anchor Line</u> , 2004 WL 1048228 (S.D.N.Y. May 7, 2004).....	1
<u>Millenco L.P. v. MEVC Advisors, Inc.</u> , 2002 WL 31051604 (D. Del. August 21, 2002)	2
<u>Pfeiffer v. Bjurman, Barry & Associates</u> , 2004 WL 1903075 (S.D.N.Y. August 26, 2004).....	3
<u>Seidel v. Lee</u> , 1996 WL 578449 (D. Del. August 16, 1996)	4
<u>Strougo v. BEA Associates</u> , 2000 WL 45714 (S.D.N.Y. January 19, 2000).....	5
<u>Verkouteren v. Blackrock Fin. Mgmt., Inc.</u> 1999 WL 511411 (S.D.N.Y. July 20, 1999)	6
<u>Yampolsky v. Morgan Stanley Investment Advisors, Inc.</u> , 2004 WL 1065533 (S.D.N.Y. May 12, 2004).....	7

Miscellaneous Authorities

Securities; Brokerage and Research Services, Exchange Act Release No. 23170, 17 C.F.R. Part 241 (April 23, 1986), <u>available at</u> 1986 WL 630442	8
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Dated: September 24, 2004

Respectfully submitted,



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CERTIFICATE OF SERVICE

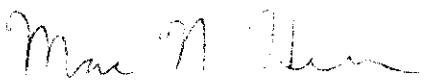
I, Marc N. Henschke, hereby certify that on September 24, 2004, I caused a true copy of the foregoing **Appendix Of Unpublished Authorities Cited In Plaintiffs' Memorandum Of Law In Opposition To Defendants' Motion To Dismiss Their Complaint** to be served by first class mail, postage prepaid, upon Defendants' counsel of record at the following addresses:

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